United Nations S/AC.49/2011/13

Security Council

Distr.: General
18 August 2011

Original: English

Security Council Committee established pursuant to resolution 1718 (2006)

Note verbale dated 17 August 2011 from the Permanent Mission of Armenia to the United Nations addressed to the Chair of the Committee

The Permanent Mission of the Republic of Armenia to the United Nations, in reference to the note verbale dated 7 February 2011, has the honour to transmit herewith the copy of the report of the Republic of Armenia on the implementation of the provisions of paragraph 8 of resolution 1718 (2006), as well as of paragraphs 9, 10, 18, 19 and 20 of resolution 1874 (2009) (see annex).

11-54763 (E) 251011

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Annex to the note verbale dated 17 August 2011 from the Permanent Mission of Armenia to the United Nations addressed to the Chair of the Committee

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
1. Prevent the direct or indirect supply, sale or transfer to the Democratic People's Republic of Korea of:				
(a) All arms and related materiel (except small arms and light weapons)?	Yes (Ministry of Defence) Yes (Armenian Customs Service) Yes (Police of the Republic of Armenia) Yes (Ministry of Finance)	Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	No arms and related materiels have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence) Since 2006 no supply, sale and transfer of all arms and related materiels have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Armenian Customs Service) Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia) No arms and related materiels have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Finance)	
(b) Nuclear-, ballistic missile- or other weapons of mass destruction-related items, as determined by the Security Council or the Committee?	Yes (Ministry of Defence) Yes (Armenian Customs Service) Yes (Police of the Republic of Armenia)		No nuclear, ballistic missile or other WMD-related items have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence)	

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
	Yes (Ministry of Finance)		Since 2006 no supply, sale and transfer of nuclear, ballistic missile or other WMD-related items have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Armenian Customs Service) No nuclear or other WMD-related items have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Police of the Republic of Armenia, Ministry of Finance)	
(c) Luxury goods?	Yes (Ministry of Defence) Yes (Police of the Republic of Armenia) Yes (Ministry of Finance)		No luxury goods have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence, Police of the Republic of Armenia, Ministry of Finance)	
2. Prohibit the procurement from the Democratic People's Republic of Korea of:				
(a) All arms and related materiel? (b) Nuclear-, ballistic missile- or other weapons of mass destruction-related items, as determined by the Security Council or the Committee?	Yes (Ministry of Defence) Yes (Armenian Customs Service) Yes (Police of the Republic of Armenia)	Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	No arms and related materiels, determined nuclear, ballistic missile or other WMD-related items have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence) Since 2006 no supply, sale and transfer of arms and related materiels, determined nuclear,	

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
			ballistic missile or other WMD-related items have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Armenian Customs Service) Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia)	
3. Prevent the transfer from or to the Democratic People's Republic of Korea of financial transactions, technical training, advice, services and assistance related to:				
(a) All arms and materiel (except small arms and light weapons to the Democratic People's Republic of Korea)?	Yes (Central Bank) Yes (Ministry of Defence) Yes (Armenian Customs Service)	As for the financial transactions, according to article 10(8) of the Anti-Money Laundering/ Combating the Financing of Terrorism (AML/CFT) Law of the Republic of Armenia, the Financial Monitoring Center of the Central Bank of Armenia is entitled to provide reporting entities with data necessary for identification of persons or with typologies, based on which reporting entities shall be obligated to suspend the business relationships or transactions matching such names (titles) or typologies,	The Financial Monitoring Center of the Central Bank of Armenia notified reporting entities of the requirements contained in Security Council resolutions 1718 (2006) and 1874 (2009) (Central Bank) No financial transactions, technical training, advice, services or assistance have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence, Ministry of Finance) Since 2006 no financial transaction, technical training, advice, services or assistance have been exported or imported from/to the Republic of	
(b) Nuclear-, ballistic missile- or other weapons of mass destruction-related items, as determined by the Security Council or the Committee?	Yes (Police of the Republic of Armenia) Yes (Ministry of Finance)			

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
		or to reject their execution (Central Bank)	Armenia and the Democratic People's Republic of Korea (Armenian Customs Service)	
		Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia)	
4. Freeze the assets of and block financial transactions related to designated persons or entities?	Yes (Central Bank) Yes (Police of the Republic of Armenia)	According to article 25(1) and (3) of the AML/CFT Law of the Republic of Armenia with the view to adhering to the resolutions of the United Nations Security Council and international treaties of the Republic of Armenia, the Financial Monitoring Center of the Central Bank of Armenia shall release the lists of the persons linked to terrorism and ensure immediate freezing of funds of the persons included in such lists. Reporting entities or the Central Bank of Armenia shall take a decision on freezing the funds of the persons included in the provided lists (Central Bank)	The Financial Monitoring Center of the Central Bank of Armenia notified reporting entities about the requirements to freeze funds in the cases described in Security Council resolutions 1718 (2006) and 1874 (2009) and provided the financial institutions with the list of designated persons and entities (Central Bank)	
		Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia)	

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
5. Prevent designated persons from entering or transiting through Member States' territories?	Yes (Police of the Republic of Armenia)	Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia)	
6. Prevent the provision of financial services or the transfer of any financial or other asset or resource that could contribute to the programmes on weapons of mass destruction of the Democratic People's Republic of Korea?	Yes (Central Bank) Yes (Ministry of Defence) Yes (Police of the Republic of Armenia) Yes (Ministry of Finance)	According to article 10(8) of the AML/CFT Law of the Republic of Armenia, the Financial Monitoring Center of the Central Bank of Armenia is entitled to provide reporting entities with data necessary for identification of persons or with typologies, based on which reporting entities shall be obligated to suspend the business relationships or transactions matching with such names (titles) or typologies, or to reject their execution. (Central Bank) Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	The Financial Monitoring Center of the Central Bank of Armenia notified reporting entities of the requirements contained in Security Council resolutions 1718 (2006) and 1874 (2009) (Central Bank) No financial services or transfer of any financial or other assets or resources have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence) Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia) No transfer of any financial or other assets or resources have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Finance)	
7. Not enter into new commitments for grants, financial assistance or concessional loans to the Democratic People's Republic of Korea, except for humanitarian and	Yes (Ministry of Defence) Yes (Police of the Republic of Armenia) Yes (Ministry of Finance)	Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	No grants, financial assistance or concessional loans have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Defence)	

Have concrete measures, procedures or laws been put in place in order to:	*Yes/No*	*Indicate source(s) or legislation*	*Additional information*	*Remarks*
development purposes, and to reduce current commitments?			Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia) No financial assistance or concessional loans have been exported or imported from/to the Republic of Armenia and the Democratic People's Republic of Korea (Ministry of Finance)	
8. Not provide public financial support for trade with the Democratic People's Republic of Korea that could contribute to that State's programmes on weapons of mass destruction?	Yes (Armenian Customs Service) Yes (Ministry of Defence) Yes (Police of the P.epublic of Armenia) Yes (Ministry of Finance)	Governmental Decree No. 02/12.13/12051-11 as of 19.07.2011 (Police of the Republic of Armenia)	Since 2006 no public financial support has been provided for trade with the Democratic People's Republic of Korea that could contribute to its WMD programmes (Armenian Customs Service) No public financial support was provided for trade with the Democratic People's Republic of Korea that could contribute to its WMD programmes (Ministry of Defence) Relevant instructions have been given to subdivisions of the Police of the Republic of Armenia (Police of the Republic of Armenia) No financial support was provided for trade with the Democratic People's Republic of Korea that could contribute to its WMD programmes (Ministry of Finance)	

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Security Council

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7 October 2011
English
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Security Council Committee established pursuant to resolution 1718 (2006)

Note verbale dated 28 September 2011 from the Permanent Mission of Colombia to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Colombia to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 1718 (2006) and transmits herewith the national report on implementation of the provisions of Security Council resolutions 1718 (2006) and 1874 (2009) (see annex).

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Annex to the note verbale dated 28 September 2011 from the Permanent Mission of Colombia to the United Nations addressed to the Chair of the Committee

National report of Colombia

The Government of Colombia, pursuant to resolutions 1718 (2006) and 1874 (2009), has taken the following steps:

- **Resolution 479 of 2006 (Industria Militar of Colombia)**. This resolution sets out the actions that Colombia must take to prevent the direct or indirect supply, sale or transfer to the Democratic People's Republic of Korea of battle tanks, armoured combat vehicles, large-calibre artillery systems, combat aircraft, attack helicopters, warships, missiles and missile systems as defined in the United Nations Register of Conventional Arms or any related materiel.

- **Resolution 144 of 2009 (Industria Militar of Colombia)**. The actions needed to comply with the provisions of Security Council resolutions 825 (1993), 1540 (2004), 1695 (2006) and 1718 (2006) are adopted in this resolution.

- **Circular letter No. 39 of the Superintendence of Finance of Colombia**. This letter informs the banks about entities and persons whose funds, economic resources or other financial assets should be frozen.

- **Article 20 of Act 1121 of 2006**. This article sets out the norms for prevention, detection and investigation of the financing of terrorism, and the associated penalties, among other provisions.

- **Article 102 of the Organic Statute of the Financial System, on the "General Regime"**. This article requires the entities subject to control and monitoring by the Superintendence of Banks to establish control mechanisms to prevent their operations from being utilized in any way to conceal, manage, invest or use money or other assets derived from criminal activities, or to give the appearance of legality to criminal activities or to transactions and funds related to such activities. These entities shall adopt guidelines and rules of conduct to be observed by their legal representatives, directors, managers and employees, so that they (1) familiarize themselves with the economic activities of their clients, the volume and basic characteristics of the transactions that they normally carry out and, in particular, the activities of those who make any kind of cash, term or savings deposits, or deposit assets in trust directly or on behalf of third parties, or make deposits into safe deposit boxes; (2) establish the frequency, volume and characteristics of the financial transactions of their clients; (3) establish that the volume and movement of funds of their clients are consistent with those clients' economic activities; and (4) make a prompt and full report to the Information and Financial Analysis Unit of any relevant information on the management of funds, the amount or nature of which bears no relation to the economic activities of their clients, or on client transactions in respect of which, by reason of their number, amounts or special characteristics, it might reasonably be concluded that the entity is in fact being used to transfer, process, benefit from or invest money or the proceeds of criminal activities. The entities shall also adopt other mechanisms as indicated by the national Government. In order to establish the control mechanisms, the

monitored entities should design and implement specific procedures, and designate officials responsible for verifying proper compliance with those procedures. The Superintendence of Banks should be informed of the control and auditing mechanisms established by the entities. It shall at any time make comments to the entities if it finds that the mechanisms adopted are not sufficient for the purposes indicated, so that they can make the necessary changes. The Superintendence of Banks should be informed of any modifications of the mechanisms adopted so that it can assess their suitability for the purposes mentioned. The control and auditing mechanisms shall apply exclusively to transactions, operations and balances involving amounts higher than those considered to be reasonable and sufficient. Such quantities shall be established through the mechanism adopted by each entity according to the type of business carried out, the extent of the network, client selection procedures, product marketing, operational capacity and the level of technological development.

- **Resolution No. 5707 of 2008 of the Ministry of Foreign Affairs**. This resolution provides that nationals of the Democratic People's Republic of Korea require visas to enter and remain in Colombia. The Consular Offices of the Republic require prior authorization in writing from the Internal Working Group appointed by the Minister for Foreign Affairs to decide on the issuance of any type or category of visa for nationals of the Democratic People's Republic of Korea.

United Nations S/AC.49/2011/15

Security Council

Distr.: General
30 November 2011
English
Original: Spanish

Security Council Committee established pursuant to resolution 1718 (2006)

Note verbale dated 18 November 2011 from the Permanent Mission of Chile to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Chile to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 1718 (2006) and has the honour to refer to note verbale SCA/4/11 (01) of 7 February 2011, requesting information concerning the application of the provisions of that resolution.

In that connection, the Permanent Mission of Chile has the honour to transmit the attached report on the steps it has taken to implement resolution 1718 (2006) (see annex).

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Annex to the note verbale dated 18 November 2011 from the Permanent Mission of Chile to the United Nations addressed to the Chair of the Committee

Report of the Republic of Chile, submitted pursuant to paragraph 11 of Security Council resolution 1718 (2006)

The Republic of Chile has the honour to report, as a Member of the United Nations, that it is in full compliance with the resolutions adopted by the Security Council, and, in accordance with Article 25 of the Charter of the United Nations, such resolutions are binding for the whole country. Accordingly, the Republic of Chile has decided to impose throughout its territory a cessation of all relations involving technical or commercial cooperation with the Democratic People's Republic of Korea.

It is worth noting in that connection that article 5 of the Constitution of Chile establishes, inter alia, that the organs of the State have the duty to comply with international treaties ratified by Chile and currently in force.

From a legal perspective, if resolutions of the Security Council call for coercive measures applicable for the country as a whole, they must first be incorporated into Chile's domestic law. An authorized copy of Security Council resolution 1718 (2006) is therefore included in its entirety in Supreme Decree No. 366 of 9 November 2006, published in the Official Gazette of 20 June 2007, which sets forth the decision of the Government of Chile to comply strictly and faithfully with the resolution and explicitly requires all authorities and organs of the State to ensure full compliance with its provisions in their respective spheres of activity.

We also wish to inform you that Act No. 17,798 on control of arms and similar items, as amended by Act No. 29,014 of 13 May 2005 and its accompanying implementing regulations, approved by means of Supreme Decree MDN SSG Depto. III No. 83 of 22 February 2007, are in full force and effect. This act regulates all the materials specified in paragraph 8, subparagraphs (a) (i) and (a) (ii) of resolution 1718 (2006), and assigns to the General Directorate for National Mobilization the primary responsibility for oversight and monitoring of firearms, explosives, pyrotechnic devices and similar items.

In addition, the Advisory Committee of the Ministry of National Defence on Export of Firearms and Materiel, established pursuant to Supreme Decree S.S.G. DEPTO. II No. 80 of 21 December 1991, assesses the security aspects of all requests submitted by companies, through the General Directorate for National Mobilization, for licences to export materials or equipment that could be used for military purposes, and has the authority to deny such licences. A subsequent Supreme Decree, MDN.SS.FF.AA. (G) Depto II/As No. 196 of 2010, amended Supreme Decree No. 80 in respect of the membership of the Committee, which comprises the Under-Secretary for Defence, the Under-Secretary for the Armed Forces, the Under-Secretary for Foreign Affairs, the Vice-Chairman of the Joint Chiefs of Staff and the Director-General of the Directorate for National Mobilization.

The basic document used by the Ministry of National Defence to guide the above activities is the "List of countries to which the sale of materials for possible military use is prohibited and the supply or transfer of such materials is restricted", which is drawn up by the Ministry of Foreign Affairs and distributed to the authorities expressly designated to deal with the matter. The list is updated regularly in the light of relevant provisions contained in resolutions of the Security Council.

With regard to subparagraph (a), Chile has not and will not sell or transfer any materials or equipment that could be used for military purposes, nor does it maintain relations of any kind with companies, enterprises or persons supplying or selling the materials specified in the list of items, materials, equipment, goods and technology related to nuclear programmes (S/2006/814) or the list of items, materials, equipment, goods and technology related to other weapons of mass destruction programmes (S/2006/853).

With reference to subparagraph (b), the items referred to therein are not being procured and will not be procured from the Democratic People's Republic of Korea, and safeguards have been put in place to ensure that no Chilean nationals take part in the procurement or sale of such items, either directly or indirectly through third parties.

With reference to subparagraph (c), no training, service or assistance related to the supply, manufacture or maintenance of the items mentioned in subparagraphs (a) (i) and (a) (ii) is being provided.